Open Research Area (ORA) for the Social Sciences
ANR-DFG-ESRC-SSHRC

Sixth Call for Proposals 2019/2020

Webinar for potential applicants
3 July 2019
Agenda

- Introduction
- Call Mechanisms
  - Call summary
  - Eligibility criteria
  - Japanese Cooperation Partners
  - How to apply
  - Decision-making process
  - Assessment process
  - National additional requirements
  - Indicative timeline
- Do get in touch
- Questions
Call Mechanisms

- Call summary
- Eligibility criteria
- How to apply
- Decision-making process
- Assessment criteria
- National additional requirements
- Indicative timeline
Call summary

- Funding available for integrated projects by researchers coming from Research Organisation based at least three of the four subscribing countries (ANR, DFG, ESRC and SSHRC)

- ESRC is the co-ordinating agency for this call

- Proposals may be submitted in any area of the social sciences - the disciplinary coverage varies according to the involvement of the national agencies

- A special opportunity for cooperation with projects in Japan
  
  *Japan do not count* against the three country minimum requirement

- Applicants must submit **Outline and Full proposals at the same time**, rather than waiting for the results of the outline stage
Call Mechanisms

- Call summary
- Eligibility Criteria
- How to apply
- Decision-making process
- Assessment criteria
- National Specific Requirements
- Indicative timeline
Eligibility criteria - Applicants

▶ All project participants must be listed in Full list of project participants form:
  - the Main Applicant* - one nominated Principal Investigator who manages online submission of Outline and Full proposals and acting as a point of contact
  - One Principal Investigator* per country involved in the proposal
  - Co-Is* named in conformity with national rules
  - Other: Team Members and Cooperation Partners
  * Considered as project applicants

▶ Principal Investigator(s), Co-Investigator(s), Team Member(s) can only be involved in ONE proposal, in any capacity
Eligibility criteria – Duration and Budget

- Eligible projects are for a period of two years and a maximum of three years (24 to 36 months)

- The following national funding limits apply:
  - **ANR**  Up to €450,000 per project
  - **DFG**  No maximum limit per project
  - **ESRC** Up to £600,000 at 100% fEC (£480,000 at 80% fEC) with a minimum of £200,000 (100% fEC) per project
  - **SSHRC** Up to $400,000 per project, with a maximum of $135,000 per year per project
Eligibility criteria – Additional Guidelines

- All proposals must be written in English (exception including Canadian partners)
- Outline and Full proposals must be submitted to the ESRC through the UK Research Councils’ Joint Electronic Submissions system (Je-S)
  - ANR and DFG also requires their applicants to submit their Outline and Full proposals through the ANR’s and DFG’s (elan) systems
- If a proposal is ineligible with one national agency the complete project will be rejected by all the agencies concerned
- Please note rules regarding resubmissions:
  - ESRC does not allow the resubmission of any previously unsuccessful proposal - ANR, DFG and SSHRC do allow resubmissions
  - Applications that are resubmissions of previously unfunded non-ORA projects (ie applications to a non-ORA call) are also allowed by ANR, DFG and SSHRC but not the ESRC
  - If an application to a non-ORA call is still awaiting a decision then resubmissions are not allowed by any of the partners
Japanese Cooperation Partners

- Additional opportunity to associate ORA projects with partners in Japan
- The Japanese proposal will be evaluated and decided upon separately by JSPS
  - JSPS call will open between 20 January and 19 February 2020
- The ORA proposal will be evaluated as a stand-alone proposal by the ORA partners and funding decisions will be made independently

**Summary Japanese Project** must include: a) information on the Japanese participants and b) a summary of the proposed research
Call Mechanisms

- Call summary
- Eligibility criteria

**How to apply**
- Decision-making process
- Assessment criteria
- National additional requirements
- Indicative timeline
How to apply

- Outline and Full proposals must be submitted via Je-S by 4.00pm (UK time) on 11 September 2019
  - ‘ORA 6 Call 2019 Stage 1 Outline’
  - ‘ORA 6 Call 2019 Stage 2 Full’
- Main Applicant must be registered in JeS in order to submit proposals
- You can submit proposals:
  - Complete proposals sequentially – once Outline proposal is submitted an ‘ES/XXXX/1’ number is generated and can be used to prepare and submit the Full proposal
  - When preparing the Full proposal – enter the ‘ES/XXXX/1’ number onto the ‘Related Proposals’ box in Je-S form and copy information from the Outline to the Full proposal in Je-S system
  - Submitting both proposals in parallel two different ‘ES/XXXX/1’ numbers will be generated
- Once proposals are submitted in Je-S they cannot be edited
- Allow sufficient time for registration and submission to avoid disappointment
Your proposal in Je-S

- Je-S Outline and Full proposals
  - Research organisation, project title, duration, suitable reference
  - Main Applicant
  - Objectives *(4000 character limit)*
  - Summary *(4000 character limit)*
  - Academic Beneficiaries *(4000 character limit)*
  - Impact Summary (full stage only) *(4000 character limit)*
  - Ethical Information (full stage only) *(4000 character limit)*
  - Classification and key words – required for reporting purposes

- Applicants **must** adhere to the requested character and page limits for forms and attachments, as set out in the Je-S Guidance (otherwise they will be **disqualified and rejected** by the call Secretariat)
**Mandatory attachments**

<table>
<thead>
<tr>
<th>Proposals should consist of:</th>
<th>Outline Stage 1</th>
<th>Full Stage 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Completed Je-S Form</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Case for Support attachment - the main body of the proposal</td>
<td>max 4 pages of A4</td>
<td>max 10 pages of A4</td>
</tr>
<tr>
<td>CVs for all named applicants</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Project Participants attachment</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total budget and a short summary of costs attachment</td>
<td></td>
<td></td>
</tr>
<tr>
<td>List of publications/ Bibliography attachment</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Former submissions and resubmission form attachment (if applicable)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Summary of Japanese Proposal attachment (if applicable)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Justification of Resources attachment</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Final/Interim progress reports attachment (ESRC only, if applicable)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Data Management Plan attachment (if applicable)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Project timetable/Workplan attachment</td>
<td></td>
<td></td>
</tr>
<tr>
<td>National Financial Form attachments (if applicable for ANR, ESRC and SSHRC)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Letters of support attachments (if applicable)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**ALL ATTACHMENTS MUST BE IN:**
minimum font size 11, Times New Roman/Arial, minimum 2 cm margins
Call Mechanisms

- Call summary
- Eligibility criteria
- How to apply
- Decision-making process
- Assessment criteria
- National additional requirements
- Indicative timeline
Decision-making process

- **Stage 1 Outline – Office checks and outline panel**
  - following office checks all proposals not deemed to be within the scope of the call or which **do not meet the core eligibility criteria will be rejected**
  - eligible proposals will be reviewed by an international outline panel
  - shortlisted proposals will move automatically to the second stage

- **Stage 2 Full – Written peer review and commissioning panel**
  - proposal will be sent for assessment to external, independent referees for peer review (we aim to secure a minimum two reviewers per each proposal)

*Main Applicants (on behalf of all project participants) will be invited to submit a response to comments received on their proposal*

- proposals will be discussed and funding recommendations will be made by the commissioning panel of experts
- feedback to applicants will be provided - the anonymous reviews of the external referees and a consensus report of the expert panel
Final steps for successful applicants

- Funding recommendations will be announced September/October 2020
- All successful proposals will undergo thorough **costings checks**
- In exceptional circumstances the Commissioning Panel may recommend your proposal is funded subject to a condition
- Starting date for successful proposals:
  - Earliest - October 2020
  - Latest - 31 March 2021
- All successful applicants will be required to complete a joint final report on their project after the grant ends
Call Mechanisms

- Call summary
- Eligibility criteria
- How to apply
- Decision-making process

Assessment criteria
- National additional requirements
- Indicative timeline
Applications are assessed against a **criterion** of research **excellence**

<table>
<thead>
<tr>
<th>Call Specific Assessment Criteria</th>
<th>Outline Stage 1</th>
<th>Full Stage 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>The originality and potential contribution to new scientific knowledge (theory, methodology, or practice)</td>
<td>✗</td>
<td>✗</td>
</tr>
<tr>
<td>Research design</td>
<td>✗</td>
<td>✗</td>
</tr>
<tr>
<td>The appropriateness of the research team and collaboration plans</td>
<td>✗</td>
<td>✗</td>
</tr>
<tr>
<td>The costings and value for money of the research</td>
<td></td>
<td>✗</td>
</tr>
<tr>
<td>Ethical issues</td>
<td></td>
<td>✗</td>
</tr>
<tr>
<td>Communication plans and likely impact of the work</td>
<td></td>
<td>✗</td>
</tr>
</tbody>
</table>
Call Mechanisms

- Call summary
- Eligibility criteria
- How to apply
- Decision-making process
- Assessment criteria

National additional requirements

- Indicative timeline
I) ELIGIBILITY

PROJECT ELIGIBILITY
• The project has to be complete
• The project needs to be unique

PARTNERS ELIGIBILITY
Only public research and teaching institutions or equivalent

REPORTING
If selected, participants will have to provide a mid term and a final report.

MORE INFORMATION
https://anr.fr/RF
2) SUBMISSION

JeS

- Registration as soon as possible by the main PI
- Proposal number ES/XXXX/01

SIM ANR

- Registration with proposal number ES/XXXX/01 + Acronym by French PI
- Fill in administrative and financial details
- Edit the administrative and financial document in PDF

JeS

- Submission by main PI of the outline proposal and full proposal (including ANR administrative and financial document in PDF) by 11 september 17:00 pm (CEST)

SIM ANR

- Submission by French PI of the outline proposal and full proposal by 18 september 17:00 pm (CEST)
Hints and Tips for DFG-Applicants

- Deadline for elan submission: 18. September 2019
- Funding scheme: Individual Grants Programme/Research Grant (Sachbeihilfe)
- Call to Select: ORA VI 2019
- **Important**: Write the ‘ES/X000000/I’ number from your outline proposal into the box for the acronym!
- Do not translate title and summary into German, copy/paste the English text into the boxes for the German version.
- **Note**: There are different character limits for the summary in Je-S (4,000 characters) and elan (3,000 characters).
- Remember to include the DFG-specific documents, depending on the modules you request.
ESRC additional requirements

- Standard ESRC research funding terms and conditions apply as set out in the [ESRC Research Funding Guide](#)
- No resubmissions are allowed
- Associated Studentships are not allowed for the UK component of ORA
- As a condition of access to UK ESRC funding, all successful UK applicants will be required to complete an additional submission via Je-S for administrative purposes
SSHRC: Important Information

- [x] SSHRC ORA 6 Landing page

- [x] SSHRC National Addendum
  - Guidance for Canadian applicants
  - SSHRC Policies and Regulations
  - Eligibility Requirements

- [x] SSHRC National Financial Form
  - (Available on ESRC website and downloadable from SSHRC ORA 6 Landing page)
  - To be submitted with the Full proposal through Je-S

- [x] SSHRC Terms and Conditions for Applying
  - (Downloadable from SSHRC ORA 6 Landing page)
  - Includes the list of participants
  - To be completed and signed by PI
  - To be signed by research grants officer (RGO)
  - To be submitted by RGO before the deadline

- [x] Contact

  Paula Popovici
  paula.popovici@sshrc-crsh.gc.ca
  (+1) 613-992-0498

  Mika Oehling
  mika.oehling@sshrc-crsh.gc.ca
  (+1) 613-943-6001
SSHRC: Value and Duration

- Value
  - Up to $400,000
  - Maximum $135,000 per year
- Duration: 2 to 3 years
- Compliance with the Tri-Agency Financial Administration Guide

SSHRC: Language

- ORA 6 competition materials are available in English and French
- SSHRC-eligible applicants can submit their proposals through the Je-S system in English or French
- Applicants who wish to write their proposal in French must contact SSHRC in advance for more details

Deadline:
September 11, 2019
11:00 a.m. Eastern
SSHRC: Role Equivalency

<table>
<thead>
<tr>
<th>ORA 6 role</th>
<th>SSHRC role</th>
</tr>
</thead>
<tbody>
<tr>
<td>Principal investigator</td>
<td>Applicant</td>
</tr>
<tr>
<td>Co-investigator</td>
<td>Co-applicant</td>
</tr>
<tr>
<td>Team member</td>
<td>Collaborator</td>
</tr>
<tr>
<td>Cooperation partner</td>
<td>Co-applicant or collaborator</td>
</tr>
</tbody>
</table>

SSHRC: Eligibility

- **Subject matter eligibility**: any discipline, thematic area, or approach eligible for SSHRC funding

- **Role eligibility**:
  - Applicant – affiliated with an [eligible Canadian postsecondary institution](https://example.com) at the time of application
  - Co-applicant – affiliated with:
    - Canadian: postsecondary institutions; not-for-profit organizations; philanthropic foundations; think tanks; or municipal, territorial or provincial governments
    - International: postsecondary institutions
  - Collaborator – any individual who makes a significant contribution to the project
Call Mechanisms

- Call summary
- Eligibility criteria
- How to apply
- Decision-making process
- Assessment criteria
- National additional requirements

Indicative timeline
Indicative timeline

- **Call for proposals launched**
  - **May 2019**

- **Submission deadline**
  - **11 September 2019 16.00 UK time**

- **Outline Stage Panel meeting**
  - **December 2019**

- **Shortlisting decisions communicated**
  - **February-April**

- **Full Stage peer review**
  - **January 2020**

- **Full Stage Panel meeting**
  - **June 2020**

- **Funding decisions communicated**
  - **By 31 March 2021**

- **Awards must start**
  - **September/October 2020**
# Do get in touch

## National contacts

### Canada, SSHRC:

- Paula Popovici  
  Telephone: +1 613 992 0498  
  Email: paula.popovici@sshrc-crsh.gc.ca

- Mika Oehling  
  Telephone: +1 613 943 6001  
  Email: mika.oehling@sshrc-crsh.gc.ca

### United Kingdom, ESRC:

- Marzena Bien  
  Telephone: +44 1793 413 150  
  Email: ORAQueries@esrc.ukri.org

- Lauren Quinn  
  Telephone: +44 1793 413 128  
  Email: ORAQueries@esrc.ukri.org

### Germany, DFG:

- Christiane Joerk  
  Telephone: +49 228 885 2451  
  Email: christiane.joerk@dfg.de

- Sigrid Claßen  
  Telephone: +49 228 885 2209  
  Email: sigrid.classen@dfg.de

### France, ANR:

- Sylvie Contrepois  
  Telephone: +33 01 78 09 80 78  
  Email: sylvie.contrepois@agencerecherche.fr

- Marie Fleck  
  Telephone: +33 01 78 09 80 06  
  Email: marie.fleck@agencerecherche.fr
Do get in touch continues

▶ ORA Call Secretariat
   Email: ORAQueries@esrc.ukri.org
   Telephone: +44 1793 413 128 /50

▶ Je-S Helpdesk
   Email: jeshelp@je-s.ukri.org
   Telephone: +44 01793 44 4164

*The Helpdesk is staffed Monday to Thursday 08.30 - 17.00, Friday 8:30 to 16:30 (UK time)
Thank you for your time and attention!