



Open Research Area (ORA) for the Social Sciences ANR-DFG-ESRC-SSHRC


Sixth Call for Proposals 2019/2020

Webinar for potential applicants
3 July 2019

Agenda

- ▶ Introduction
- ▶ Call Mechanisms
 - Call summary
 - Eligibility criteria
 - Japanese Cooperation Partners
 - How to apply
 - Decision-making process
 - Assessment process
 - National additional requirements
 - Indicative timeline
- ▶ Do get in touch
- ▶ Questions

Call Mechanisms

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- A vertical decorative bar on the left side of the slide, composed of several horizontal segments in red, blue, and grey colors.
- ▶ **Call summary**
 - ▶ Eligibility criteria
 - ▶ How to apply
 - ▶ Decision-making process
 - ▶ Assessment criteria
 - ▶ National additional requirements
 - ▶ Indicative timeline

Call summary

- ▶ Funding available for integrated projects by researchers coming from Research Organisation based at least three of the four subscribing countries (ANR, DFG, ESRC and SSHRC)
- ▶ ESRC is the co-ordinating agency for this call
- ▶ Proposals may be submitted in any area of the social sciences - the disciplinary coverage varies according to the involvement of the national agencies
- ▶ A special opportunity for cooperation with projects in Japan
 - * **Japan do not count** against the three country minimum requirement
- ▶ Applicants must submit **Outline and Full proposals at the same time**, rather than waiting for the results of the outline stage

Call Mechanisms

- ▶ Call summary
- ▶ **Eligibility Criteria**
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Eligibility criteria - Applicants

- ▶ All project participants must be listed in [Full list of project participants](#) form:
 - the **Main Applicant*** - one nominated Principal Investigator who manages online submission of Outline and Full proposals and acting as a point of contact
 - One **Principal Investigator*** per country involved in the proposal
 - **Co-Is*** named in conformity with national rules
 - Other: Team Members and Cooperation Partners
- * **Considered as project applicants**

- ▶ Principal Investigator(s), Co-Investigator(s), Team Member(s) **can only be involved in ONE proposal**, in any capacity

Eligibility criteria – Duration and Budget

- ▶ Eligible projects are for a period of **two years and a maximum of three years (24 to 36 months)**
- ▶ The following national funding limits apply:
 - **ANR** Up to €450,000 per project
 - **DFG** No maximum limit per project
 - **ESRC** Up to £600,000 at 100% fEC (£480,000 at 80% fEC) with a minimum of £200,000 (100% fEC) per project
 - **SSHRC** Up to \$400,000 per project, with a maximum of \$135,000 per year per project

Eligibility criteria – Additional Guidelines

- ▶ All proposals must be written in English (exception including Canadian partners)
- ▶ Outline and Full proposals **must be** submitted to the ESRC through the UK Research Councils' Joint Electronic Submissions system (Je-S)
 - ANR and DFG also requires their applicants to submit their Outline and Full proposals through the ANR's and DFG's (elan) systems
- ▶ If a proposal is ineligible with one national agency the complete project **will be rejected by all the agencies concerned**
- ▶ Please note rules regarding **resubmissions**:
 - ESRC does not allow the resubmission of any previously **unsuccessful proposal** - ANR, DFG and SSHRC do allow resubmissions
 - Applications that are resubmissions of previously unfunded **non-ORA projects** (ie applications to a non-ORA call) are also allowed by ANR, DFG and SSHRC but not the ESRC
 - If an application to a non-ORA call is still awaiting a decision then resubmissions are not allowed by any of the partners

Japanese Cooperation Partners

- ▶ Additional opportunity to associate ORA projects with partners in Japan
- ▶ The Japanese proposal will be evaluated and decided upon separately by JSPS
 - **JSPS call will open between 20 January and 19 February 2020**
- ▶ The ORA proposal will be evaluated as a stand-alone proposal by the ORA partners and funding decisions will be made independently
- ▶ **Summary Japanese Project** must include: a) information on the Japanese participants and b) a summary of the proposed research

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- ▶ Decision-making process
- ▶ Assessment criteria
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How to apply

- ▶ Outline and Full proposals **must** be submitted via Je-S by **4.00pm (UK time) on 11 September 2019**
 - ‘ORA 6 Call 2019 Stage 1 Outline’
 - ‘ORA 6 Call 2019 Stage 2 Full’
- ▶ Main Applicant **must** be registered in JeS in order to submit proposals
- ▶ You can submit proposals:
 - Complete proposals sequentially – once Outline proposal is submitted an ‘ES/XXXX/I’ number is generated and can be used to prepare and submit the Full proposal
 - When preparing the Full proposal – enter the ‘ES/XXXX/I’ number onto the ‘Related Proposals’ box in Je-S form and copy information from the Outline to the Full proposal in Je-S system
 - Submitting both proposals in parallel two different ‘ES/XXXX/I’ numbers will be generated
- ▶ Once proposals are submitted in Je-S they cannot be edited
- ▶ Allow sufficient time for registration and submission to avoid disappointment

Your proposal in Je-S

- ▶ Je-S Outline and Full proposals
 - Research organisation, project title, duration, suitable reference
 - Main Applicant
 - Objectives (*4000 character limit*)
 - Summary (*4000 character limit*)
 - Academic Beneficiaries (*4000 character limit*)
 - Impact Summary (full stage only) (*4000 character limit*)
 - Ethical Information (full stage only) (*4000 character limit*)
 - Classification and key words – required for reporting purposes

- ▶ Applicants **must** adhere to the requested character and page limits for forms and attachments, as set out in the Je-S Guidance (otherwise they will be **disqualified and rejected** by the call Secretariat)

Mandatory attachments

Proposals should consist of:	Outline Stage 1	Full Stage 2
Completed Je-S Form	×	×
Case for Support attachment - the main body of the proposal	max 4 pages of A4	max 10 pages of A4
CVs for all named applicants attachments	×	×
Project Participants attachment	×	×
Total budget and a short summary of costs attachment	×	×
List of publications/ Bibliography attachment	×	×
Former submissions and resubmission form attachment (if applicable)	×	×
Summary of Japanese Proposal attachment (if applicable)	×	×
Justification of Resources attachment		×
Final/Interim progress reports attachment (ESRC only, if applicable)		×
Data Management Plan attachment (if applicable)		×
Project timetable/Workplan attachment		×
National Financial Form attachments (if applicable for ANR, ESRC and SSHRC)		×
Letters of support attachments (if applicable)		×

**ALL ATTACHMENTS MUST BE
 in: minimum font size 11,
 Times New Roman/Arial,
 minimum 2 cm margins**

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Decision-making process

- ▶ **Stage 1 Outline– Office checks and outline panel**
 - following office checks all proposals not deemed to be within the scope of the call or which **do not meet the core eligibility criteria will be rejected**
 - **eligible proposals will be** reviewed by an international outline panel
 - shortlisted proposals will move automatically to the second stage

- ▶ **Stage 2 Full –Written peer review and commissioning panel**
 - proposal will be sent for assessment to external, independent referees for peer review (we aim to secure a minimum two reviewers per each proposal)
 - *Main Applicants (on behalf of all project participants) will be invited to submit **a response to comments received on their proposal***
 - proposals will be discussed and funding recommendations will be made by the commissioning panel of experts
 - feedback to applicants will be provided - the anonymous reviews of the external referees and a consensus report of the expert panel

Final steps for successful applicants

- ▶ Funding recommendations will be announced September/October 2020
- ▶ All successful proposals will undergo thorough **costings checks**
- ▶ In exceptional circumstances the Commissioning Panel may recommend your proposal is funded subject to a condition
- ▶ Starting date for successful proposals:
 - Earliest - October 2020
 - Latest - 31 March 2021
- ▶ All successful applicants will be required to complete a joint final report on their project after the grant ends

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Assessment criteria

Applications are assessed against a **criterion** of research **excellence**

Call Specific Assessment Criteria	Outline Stage 1	Full Stage 2
The originality and potential contribution to new scientific knowledge (theory, methodology, or practice)	✗	✗
Research design	✗	✗
The appropriateness of the research team and collaboration plans	✗	✗
The costings and value for money of the research		✗
Ethical issues		✗
Communication plans and likely impact of the work		✗

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PROJECT ELIGIBILITY

- The project has to be **complete**
- The project needs to be **unique**

PARTNERS ELIGIBILITY

Only public research and teaching institutions or equivalent

REPORTING

If selected, participants will have to provide a mid term and a final report.

MORE INFORMATION

<https://anr.fr/RF>

JeS

- Registration as soon as possible by the main PI
- Proposal number ES/XXXX/01

SIM ANR

- Registration with proposal number ES/XXXX/01 + Acronym by French PI
- Fill in administrative and financial details
- Edit the administrative and financial document in PDF

JeS

- Submission by main PI of the outline proposal and full proposal (including ANR administrative and financial document in PDF) by **11 september 17:00 pm (CEST)**

SIM ANR

- Submission by French PI of the outline proposal and full proposal by **18 september 17:00 pm (CEST)**

Hints and Tips for DFG-Applicants

- ▶ Deadline for elan submission: **18. September 2019**
- ▶ Funding scheme: Individual Grants Programme/Research Grant (Sachbeihilfe)
- ▶ Call to Select: ORA VI 2019
- ▶ **Important:** Write the 'ES/X000000/I' number from your outline proposal into the box for the **acronym!**
- ▶ Do not translate title and summary into German, copy/paste the English text into the boxes for the German version.
- ▶ Note: There are different character limits for the summary in Je-S (4,000 characters) and elan (3,000 characters).
- ▶ Remember to include the DFG-specific documents, depending on the modules you request.

ESRC additional requirements

- ▶ Standard ESRC research funding terms and conditions apply as set out in the [ESRC Research Funding Guide](#)
- ▶ No resubmissions are allowed
- ▶ Associated Studentships are not allowed for the UK component of ORA
- ▶ As a condition of access to UK ESRC funding, all successful UK applicants will be required to complete an additional submission via Je-S for administrative purposes

SSHRC: Important Information

- ✓ [SSHRC ORA 6 Landing page](#)
- ✓ [SSHRC National Addendum](#)
 - Guidance for Canadian applicants
 - SSHRC Policies and Regulations
 - Eligibility Requirements
- ✓ SSHRC National Financial Form
 - (Available on [ESRC website](#) and downloadable from *SSHRC ORA 6 Landing page*)
 - To be submitted with the Full proposal through Je-S
- ✓ SSHRC Terms and Conditions for Applying
 - (Downloadable from *SSHRC ORA 6 Landing page*)
 - Includes the list of participants
 - To be completed and signed by PI
 - To be signed by research grants officer (RGO)
 - [To be submitted](#) by RGO before the deadline

✓ Contact

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SSHRC: Value and Duration



- ✓ Value
 - Up to \$400,000
 - Maximum \$135,000 per year
- ✓ Duration: 2 to 3 years
- ✓ Compliance with the [Tri-Agency Financial Administration Guide](#)

SSHRC: Language

- ✓ ORA 6 competition materials are available in [English](#) and [French](#)
- ✓ SSHRC-eligible applicants can submit their proposals through the Je-S system in English or French
- ✓ Applicants who wish to write their proposal in French must [contact SSHRC](#) in advance for more details

Deadline:

September 11, 2019

11:00 a.m. Eastern





SSHRC: Role Equivalency

ORA 6 role	SSHRC role
Principal investigator	Applicant
Co-investigator	Co-applicant
Team member	Collaborator
Cooperation partner	Co-applicant or collaborator

SSHRC: Eligibility

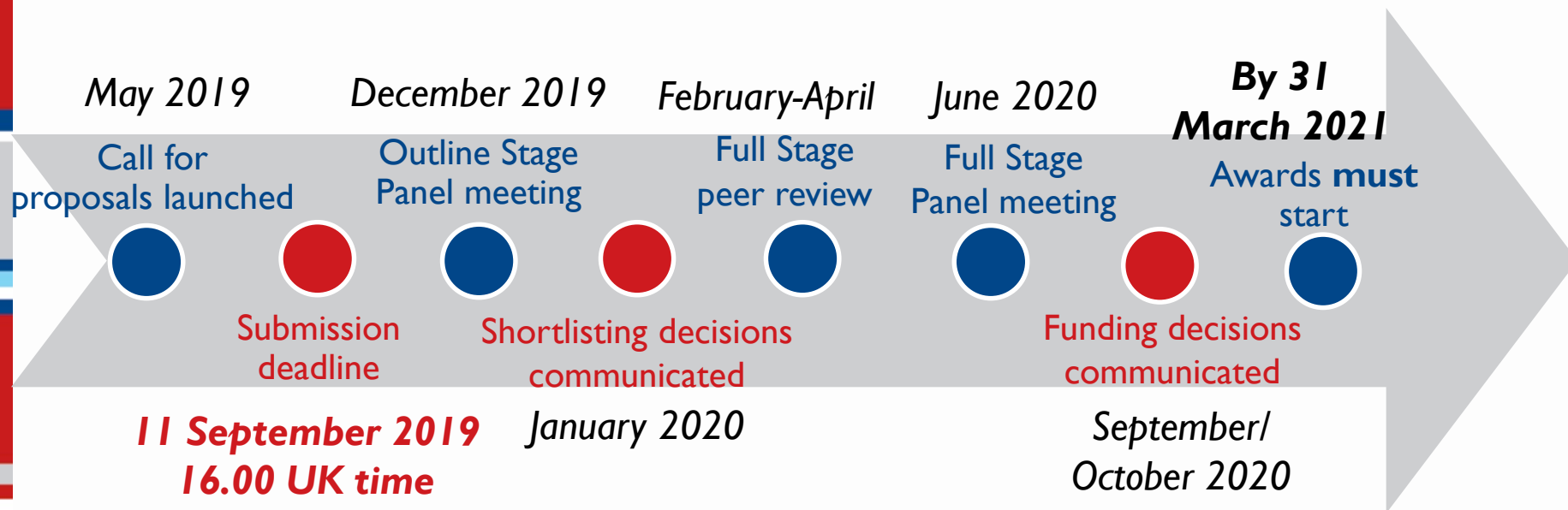
- ✓ [Subject matter eligibility](#): any discipline, thematic area, or approach eligible for SSHRC funding
- ✓ Role eligibility:
 - Applicant – affiliated with an [eligible Canadian postsecondary institution](#) at the time of application
 - Co-applicant – affiliated with:
 - Canadian: postsecondary institutions; not-for-profit organizations; philanthropic foundations; think tanks; or municipal, territorial or provincial governments
 - International: postsecondary institutions
 - Collaborator – any individual who makes a significant contribution to the project



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Indicative timeline



Do get in touch

▶ National contacts

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Do get in touch continues

- ▶ ORA Call Secretariat

Email: ORAQueries@esrc.ukri.org

Telephone: +44 1793 413 128 /50

- ▶ Je-S Helpdesk

Email: jeshelp@je-s.ukri.org

Telephone: +44 01793 44 4164

*The Helpdesk is staffed Monday to Thursday 08.30 - 17.00, Friday 8:30 to 16:30 (UK time)

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Thank you for your time and attention!