

# T-AP Social Innovation Call

## UK (AHRC/ESRC) Frequently Asked Questions

T-AP Social Innovation Call.....	1
UK (AHRC/ESRC) Frequently Asked Questions.....	1
Eligibility .....	2
Who can apply?.....	2
What does the transnational project partnership entail? .....	2
What happens to the consortium if one research partner is not eligible?.....	2
What is the role of the UK Principal Investigator? .....	2
Can an applicant be on more than one proposal? .....	3
Can non-academic partners participate?.....	3
How can I obtain a partnership with an UK organization?.....	3
Remit .....	3
What are the remit requirements? .....	3
What is the maximum funding for this call? .....	3
Are costs allowed for international co-investigators? .....	3
What costs can be associated with UK business, third sector or government body co-investigators? .....	4
What is the guidance on Travel and Subsistence costs? .....	4
Completing the Financial Form .....	4
Submission process .....	4
Who can submit a proposal and how? .....	4
When is the deadline? .....	4
Do I have to submit to AHRC/ESRC as well? .....	4
What documents do I need to submit?.....	4
Technical questions about the electronic application system Isaac.....	5
Step by step instructions for using Isaac .....	5

## Eligibility

### Who can apply?

To be eligible for funding under the Trans-Atlantic Platform (T-AP) - Social Innovation call, applicants must apply from one of the following countries, Brazil, Canada, Finland, France, Germany, Poland, the Netherlands, the United Kingdom.

Applicants must apply as a transnational research project partnership. Partnerships must be made up of a number of national research teams based in the participating T-AP SI countries listed above. Researchers based at RO's in the countries listed above should check their eligibility with their national research funding agency.

### Eligibility for funding from AHRC and ESRC

Proposals may be submitted by applicants based at [Higher Education \(HE\) institutions or recognised Independent Research Organisations](#) within the United Kingdom of Great Britain and Northern Ireland. For the purposes of this call, any applicants from Independent Research Organisations must be eligible for either AHRC or ESRC funding.

### What does the transnational project partnership entail?

Applicants must apply as a transnational research project partnership, and partner with a number of national research teams based in the participating T-AP SI countries listed above. Each project must:

- include research partners from both sides of the Atlantic, ie, from Europe and the Americas
- ensure teams in each country are led by an eligible Principal Investigator and designate one of the Principal Investigators as the project's **Lead Principal Investigator**
- if the project is requesting funds from more than one funding organisation within the same country, only one Principal Investigator may be designated as the lead for that country.

### What happens to the consortium if one research partner is not eligible?

Applications failing to comply with eligibility requirements and application instructions will be declared ineligible and will not be evaluated. **If one of the research partners in a consortium is not eligible, the entire consortium will be declared ineligible.** The Lead Principal Investigator should be aware that some countries have specific eligibility requirements and they must ensure that all PIs in their consortium adhere to the relevant requirements to avoid ineligibility of the project proposal.

### What is the role of the UK Principal Investigator?

Each project should contain one Lead Principal Investigator, who will submit the project to NWO. The Lead Principal Investigator can have a number of Principal Investigators in their consortium, one to manage the research teams within each collaborating country involved in the proposal. The Lead PI can also be the PI within their countries research team.

The Principal Investigator takes responsibility for the intellectual leadership of the research project and for the overall management of the research or other activities. S/he will be the person to whom we shall address all correspondence and must be based at the organisation at which the grant will be held. In order to be eligible you must be actively engaged in research at a postdoctoral level. Principal Applicants who have not completed a PhD should demonstrate equivalent research training and/or experience.

### **Can an applicant be on more than one proposal?**

Researchers can participate in no more than two applications as Principal Investigator (PI), and they can only act in one of these applications as Lead Principal Investigator. Note that Brazilian researchers applying to FAPESP can only participate in one T-AP SI application. Should one PI not strictly comply with this rule, all proposals including that PI will be considered ineligible. Teams in each country must be led by an eligible Principal Investigator and designate one of the Principal Investigators as the project's Lead Principal Investigator.

### **Can non-academic partners participate?**

Researchers from other countries/regions than T-AP SI partner countries/regions, and non-academic partners, may participate in the project as **Cooperation Partners**. However no funding can be applied for them from the T-AP SI call (unless otherwise stated within the funding organisations Addenda). **Due to the international structure of the T-AP SI call international co-investigators (based outside the UK) are not eligible for funding from the AHRC/ESRC in this call.**

### **How can I obtain a partnership with an UK organization?**

AHRC and ESRC do not fund directly overseas, so grants are exclusive to UK research organisations (RO's) and it is down to individual RO's to cultivate these relationships. ESRC and AHRC will not act as brokers to cultivate these relationships.

## **Remit**

### **What are the remit requirements?**

The narrative should specifically address the project's relevance to the social sciences and/or humanities. A project's research area should fit within the AHRC's and/or ESRC's subject remit. More information on the [AHRC remit](#) and [ESRC subject remit](#) can be found on the respective websites. Applicants should explain how the project advances methodologies in social science and/or humanities research, or how it addresses specific humanities and/or social science questions or issues.

## **Budget guidance**

### **What is the maximum funding for this call?**

£200,000 max funding (100% fEC) is only available to eligible applicants based at Higher Education institutions within the UK as well as applicants based at recognised Independent Research Organisations.

### **Are costs allowed for international co-investigators?**

AHRC/ESRC policy applies to co-investigators that fall outside the T-AP listed countries. Please consult our [International Co-Investigator policy](#) for more information.

## **What costs can be associated with UK business, third sector or government body co-investigators?**

When a PI includes a UK business, third sector or government body Co-I on their application they must ensure that all associated costs are fully justified within the Justification of Resources attachment. The ESRC will fund 100% of justified costs, however, the project costs associated with these types of Co-I contributions must not exceed 30% of the overall cost of the grant (at 100% fEC). (It is important to note that the combined costs for international co-investigators, and UK business, third sector and government body coinvestigators must not exceed 30% of the total fEC of the grant application.) [See more information on eligible costs](#)

## **What is the guidance on Travel and Subsistence costs?**

Projects must constitute substantive integrated work. Costs for travel and subsistence, meetings, workshops and events or dissemination can be requested (subject to national funding rules) as part of larger research operations, but proposals should not consist solely of networking activities or requests for mobility funding.

## **Completing the Financial Form**

The 'Total Project Cost' should include the sum total of the UK component of the project. This includes all directly incurred (staff and non-staff costs) all directly allocated costs and any indirect costs.

The 'Amount requested from AHRC/ESRC' should be 80% of the overall Total Project cost and UKRI's contribution to the project.

The 'Institutional Contribution' is to detail any additional costs received from a research organisation, in addition to the expected 20% (fEC).

## **Submission process**

### **Who can submit a proposal and how?**

Proposals to the T-AP SI Call for Proposals must be submitted by the Lead Principal Investigator via the portal [Isaac](#), hosted by the Netherlands Organisation for Scientific Research (NWO; Administrator for the T-AP SI Call). **This is the only way to submit a T-AP SI proposal**; applications sent via other channels will not be eligible.

### **When is the deadline?**

The proposal must be uploaded and submitted by the Lead Principal Investigator before the **deadline, 14 February, 2018, 14:00**. Please ensure you start your submission process **five days** before the deadline. Applications must be submitted in English only. The Lead Principal Investigator of each trans-Atlantic partnership must submit the joint research proposal on behalf of the consortium.

### **Do I have to submit to AHRC/ESRC as well?**

No, only successful projects will be invited to submit via the Research Councils' Joint Electronic Submissions system (Je-S). This is a formality and any such projects will not be subject to further peer review or other assessment. The submission route will be confirmed when the project decision is conveyed to you.

### **What documents do I need to submit?**

Your application consists of three parts: an online web form, the Application Template

(PDF), and the Budget Table Template (Excel). Both templates can be found on the T-AP website.

The online web form should be filled out directly in Isaac;

- As soon as you have completed the Application Template for T-AP SI Proposals, you can upload it as **one** PDF file in Isaac ('Application Form'). Please make sure that the budget tables and letters of commitment etc. that are added/pasted into the PDF are of good quality;
- As soon as you have completed all sheets of the Summary Budget Table Template, you can upload it as **one** Excel file in Isaac ('Attachments' > 'Other').
- Other obligatory national documents such as the national budget files that are required by T-AP SI partners (see Addenda) must also be uploaded one by one in Isaac ('Attachments' > 'Other').

### **Technical questions about the electronic application system Isaac**

For technical questions about the use of Isaac please contact the Isaac helpdesk. Please read the [manual](#) first before asking the helpdesk for advice. The Isaac helpdesk can be contacted from Monday to Friday between 10:00 and 17:00.

- Isaac helpdesk  
Telephone: +(31) (0) 203467179  
E-mail [Isaac.helpdesk@nwo.nl](mailto:Isaac.helpdesk@nwo.nl)

### **Step by step instructions for using Isaac**

Please refer to the [guidelines](#) on how to submit an application for step by step instructions.