

## **ESRC-ICSSR Call for Collaborative Research The future of UK-Indian trade and cross border investment in a changing global environment**

### **UK Addendum**

This addendum contains supplementary information for UK applicants. Please see the call specification and Je-S Guidance for information about this call for proposals and detailed instructions on how to apply.

#### **1. Eligibility**

The narrative should address the project's relevance to the objectives of this call and should fall within the remit of ESRC. The subject remit of the councils can be found here:

UKRI: <https://www.ukri.org/funding/how-to-apply/eligibility/>

ESRC: <https://esrc.ukri.org/funding/guidance-for-applicants/is-my-research-suitable-for-esrc-funding/discipline-classifications/>

Principal Investigators (PIs) must be based at a UK institution eligible for Research Council funding. UK funding will allow the inclusion of overseas co-investigators through the ESRC's policy but researchers from India are excluded. The primary focus of the research must be on the UK and India.

#### **2. Guidance for grant applicants**

As part of our commitment to support the recommendations and principles set out by the San Francisco Declaration on Research Assessment (DORA; <https://sfdora.org/read/>), UKRI reviewers and panel members are advised not to use journal-based metrics, such as journal impact factors, as a surrogate measure of the quality of individual research articles, to assess an investigator's contributions, or to make funding decisions.

The content of a paper is more important than publication metrics, or the identity of the journal, in which it was published, especially for early-stage researchers. Peer review and panel members are encouraged to consider the value and impact of all research outputs (including datasets, software, inventions, patents, preprints, other commercial activities, etc.) in addition

to research publications. We advise our peer reviewers and panel members to consider a broad range of impact measures including qualitative indicators of research impact, such as influence on policy and practice.

### **3. Funding Information**

Funding of up to £1.3million is available from ESRC, with a maximum of £406,250 at 100% full economic cost (fEC) available per proposal, of which ESRC will contribute 80% fEC (£325,000) per proposal.

The funding agencies also expect the costs on each side to accurately reflect the research effort to be carried out. It is expected that the research effort on both sides should be comparable.

The funding will not cover UK PhD studentships or requests for capital items.

### **4. Budget guidance**

The UK team must prepare a budget detailing costs and the requested amount, including staff costs, outsourcing, travel and subsistence, equipment (under £10,000) and consumables, dissemination, evaluation, and other itemised costs. This combined budget should indicate the overall cost for the UK team. All costs should be costed on the basis of the full economic costs (fEC) of the research and all costs that contribute to the full economic costs of the proposal should be included. Proposals should be costed using TRAC (Transparent Approach to Costing) methodology and should only include the costs required to support the research related to the proposal (that is, costs which fall outside the scope of the grant should not be included).

UKRI have produced a FAQ document for more information regarding costings, which can be found here: <https://www.ukri.org/files/legacy/documents/fecfaq-pdf/>

If the proposal is successful, UKRI will contribute 80 per cent of the total costs applied for by the UK team.

### **5. Research Ethics**

As the principal funding agency for UK social science research we require that the research we support is designed and conducted in such a way that it meets certain ethical principles, and is subject to proper professional and institutional oversight in terms of research governance.

As an important source of public funds for social science research and as a body charged with encouraging, supporting, undertaking and advising on such research, the ESRC recognises that it has a special obligation towards the general public and academic community to ensure that the research which it funds is carried out to a high ethical standard.

We have agreed a Framework for Research Ethics that all submitted proposals have to comply with. Further details available about the Framework for Research Ethics and guidance on compliance (together with links to other web-based resources on research ethics) may be found at <https://esrc.ukri.org/funding/guidance-for-applicants/research-ethics/>

## **6. Publication, intellectual property (IP), use of personal information and data sharing**

Where research is funded by or undertaken in collaboration with others, the research organisation is responsible for putting appropriate formal agreements in place covering the contributions and rights of the various organisations and individuals involved. Such agreements must be in place before the research begins.

Ownership of intellectual property generated during the project and rights to exploitation, as well as any costs regarding management of IP, are expected to be agreed between the collaborating research organisations before the research begins. The collaboration agreement must include details of this.

For further information please refer to [ESRC's Guidance for Applicants](#).

### **Use of your personal information**

UK Research and Innovation (UKRI) capture and process personal information in line with current UK data protection legislation. Our [privacy notice](#) provides more information around the processing of personal information, provides contact details for our Data Protection Officer and explains how to exercise your rights as a data subject.

UK Research and Innovation understands the importance of protecting personal information and is committed to complying with the General Data Protection Regulation 2016/679 (GDPR) and Data Protection Act (DPA) 2018. It is committed to fostering a culture of transparency and accountability by demonstrating compliance with the principles set out in the Regulation – as laid out in our [Data Protection Policy \(PDF, 137KB\)](#).

This privacy notice lets you know what happens to any personal data that you give to us, or any that we may collect from or about you. Some personal data limited to PI name and institution, grant title, case for support and anonymised reviews will be shared with international funders for the purpose of checking applicant eligibility and assisting with joint peer review and panel assessments.

### **Data Sharing**

If the proposal involves the sharing of research data across institutions or countries, applicants are expected to consider what data will be shared and how this will be managed to ensure the research project runs successfully and within the planned schedule. Getting the correct permission for accessing data within other countries can take a long time. Please start this process as early as possible.

## **7. Reporting requirements**

Principal Investigators will be required to record the outputs, outcomes and impacts generated by the grant using the Researchfish system. This information must be submitted annually for every year that the grant is active and for five years after the end of the grant. The information provided should be on behalf of both the UK and India components of the project.

For further information please see: <https://esrc.ukri.org/funding/guidance-for-grant-holders/reporting/>

## **8. Terms and conditions of awards**

For Terms and Conditions see <https://www.ukri.org/files/legacy/news/grant-fec-tcs-january-2018-v1-pdf/>

Projects will be administered by the ESRC and will therefore be subject to the standard terms and conditions of ESRC research grants. For further details please see: <https://esrc.ukri.org/funding/guidance-for-grant-holders>